



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Yoshida, et al.  
Serial No.: 09/750,504  
Filed: December 26, 2000  
For: SEMICONDUCTOR LASER  
DEVICE  
Art Group Unit: 2811  
Examiner: Unassigned  
Attorney Docket: 6635-60104 (07742-09)

**CERTIFICATE OF  
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(37 C.F.R. § 1.8A)**

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**INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 C.F.R. §1.56 AND §§1.97-1.98**

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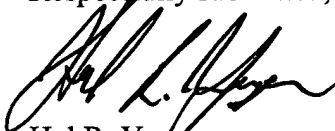
Sir:

The citation listed on the enclosed PTO-1449 Form is submitted under 37 C.F.R. §§1.97 and 1.98, and in compliance with the duty of disclosure as defined in 37 C.F.R. §1.56. The Examiner is requested to make these citations officially of record in the application. This Information Disclosure Statement is being submitted before receipt of the first Office Action for the above-identified application. Consequently, pursuant to 37 C.F.R. §1.97, no fee or certification is required. However, in the event that the first Office Action was mailed before the mailing of this Statement, the Commissioner is hereby authorized to charge the fee set forth in 37 C.F.R. §1.17(p) to deposit account No. 13-0201 to cover this fee. A duplicate of this statement is attached.

This Information Disclosure Statement Under 37 C.F.R. §1.56 is not to be construed as a representation that any of the listed citations establishes, by itself or in

combination with other information, a *prima facie* case of unpatentability of any claim in the above-identified application. Additionally, this Information Disclosure Statement is not to be construed as a representation that a further search of the art has been made by Applicants, or that additional information relevant to the examination of this application does not exist unbeknownst to Applicants.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Hal R. Yeager', is written over the typed name.

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November 12, 2001  
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